## CIC ASSET MANAGEMENT LTD.

# INVESTMENTS APPLICATION FORM - INDIVIDUAL

### MPESA PAYBILL: 600118

M No:



Name Date of Birth: Gender: Male ID/Passport No. Female PIN No. Marital Status: Mobile No: Postal Address: Email Address (for official correspondence): Nature of Occupation / Business: Signature: Sources of Funds CICAM reserves the right to seek further information / documentation on the source of funds to be invested. The funds for these investment are from (please tick where applicable): Disposal of Property Gift or Inheritance Inheritance Other (Please state) **CUSTOMER'S BANK DETAILS** (Please attach a copy of a certified recent bank statement or a recent copy of a certified cheque or a certified copy of an ATM card as proof of the bank account details entered in the section below) Account Name: Account No. Bank Name: Branch Name: Type of Account (Please Tick): Savings Current Signature of applicant / authorised signatories according to mandate provided (Any change of Bank Details above must be provided in writing with adequate proof) BANK ACCOUNT DETAILS CIC ONLY accepts personal/corporate and bankers cheques payable to the CIC UNIT TRUST COLLECTION A/C. The Bank Account details are as listed below. Account Name: CIC UNIT TRUST COLLECTION A/C Bank: Co-operative Bank of Kenya Branch: Co-operative House Branch No: 02 Bank Code: 11 Account No: 01122190806600 INVESTMENT DETAILS (Minimum investment amount is Kshs.5, 000 for each of the CIC Unit Trust Funds.)

NAME OF FUND	AMOUNT
CIC Money Market Fund	Kshs
CIC Wealth Fund	Kshs
CIC Fixed Income Fund	Kshs
CIC Equity Fund	Kshs
CIC Balanced Fund	Kshs Kshs
Total amount invested	Kshs

Total amount in words:

Payment Method (Please tick)						
Cheque Direct Cash / Cheque Deposit Direct Transfer M-Pesa						
Re-Invest? Yes No						
Regular Top Ups (Optional) I would wish to make regular top up on a monthly basis of Kshs:						
STATEMENT AND OTHER CORRESPONDENCE						
(All statements, reports and notices will be sent by default through e-mail. Please ensure your current address is correctle entered. If no e-mail address is provided or post check box is ticked, you will incur postal charges for all correspondence.)  Preferred mode of receiving monthly correspondence: Email:  Free Post:  Kshs 50						
BENEFICIARIES						
Name: ID No. D.O.B: Tel: R/Ship: % Share						
GENERAL DETAILS (Please tick where applicable)						
Have you previously invested in the CIC Unit Trust Funds? Yes No						
Are you a CIC Insurance Group Ltd. employee?						
How did you hear about CIC Unit Trusts? Radio TV N/Paper Word of mouth Internet						
Other (please state):						
RESIDENTIAL DETAILS						
Land Registration Number:						
Estate: House No.						
Road:						
Town / Area:						
I write to confirm that the above is a description of my residential address. This description has been provided as I do not have any utility bill that may be used to verify my current residential address.						

B. How long do you want to invest?  1. 0 - 3 years 2. 3 - 5 years 3. 5 - 7 years 4. 7 - 10 years 5. Over  C. What type of savings or investments do you currently hold?  1. T/Bills 2. Bank savings 3. T/Bonds 4. Property 5. Shares  D. What do you expect of your income in the next three to five years?  1. Stop 2. Decline 3. Stay the same 4. Increase Moderately  E. Which one of the following statements best describes your attitude towards investment risk?  1. I would prefer a low risk investment and preserve my capital  2. I would prefer a moderate risk investment and preserve my capital  3. I would prefer a mix of investments with a low exposure to shares  4. I would prefer a balanced portfolio with medium exposure to shares  5. I would prefer an aggressive portfolio with a high exposure to shares  F. In what period do you estimate you will withdraw?  1. Immediately  2. Within one year  3. From 1 - 3 years  4. From 3 - 5 years  5. Longer than 5 years  G. What attracts you to an investment?				where applicable)	MENT (Please tick	ISK ASSESSI
3. How long do you want to invest?  .					r age bracket?	. What is you
0 - 3 years 2. 3 - 5 years 3. 5 - 7 years 4. 7 - 10 years 5. Over 1. What type of savings or investments do you currently hold?  1. T/Bills 2. Bank savings 3. T/Bonds 4. Property 5. Shares 5. What do you expect of your income in the next three to five years?  1. What do you expect of your income in the next three to five years?  2. Decline 3. Stay the same 4. Increase Moderately 4. Increase Significately 5. Which one of the following statements best describes your attitude towards investment risk?  1. Invould prefer a low risk investment and preserve my capital 7. Invould prefer a moderate risk investment and preserve my capital 7. Invould prefer a mix of investments with a low exposure to shares 7. Invould prefer an aggressive portfolio with medium exposure to shares 8. In what period do you estimate you will withdraw?  1. Immediately 7. Immediately 8. Within one year 9. From 1 - 3 years 9. Income 9. Security 9.	5. Over 60 years	4. 51 - 60 years	1 - 50 years	40 years 3.	years 2. 31 -	ر 30 - 18
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Risk Rating   Fund   Description   Fund Objectives		5, 0 12 Honeis — 57 105				
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2 - 3 Fixed Income Moderate • Reasonable level of current income • Expect moderate growth on the capital invested	tal			Low - Moderate		1 - 2
Fund (FIF) • Expect moderate growth on the capital invested		el of current income	Reasonable leve	Moderate	1	2-3
	ested					
3 - 4 Balanced Fund Medium • Stable income stream • Modest growth on capital invested				Medium		3 - 4
(BF)		g term capital security	<ul> <li>Medium to long</li> </ul>		(Br)	
4 - 5 Fquity Fund Medium - High • Moderate income stream & level of capital volatility		occedion against initiation		Modium High	Equity Fund	4-5
• Expect potentially high growth on invested capital • Long-term return likely to be greater than inflation	volatility	me stream & level of capital	Model ace meen	Medium - night		(120) (270)

#### **DECLARATIONS, INDEMNITY AND SIGNATURES**

(Signature of applicant/authorised signatory)

I apply for investments into CIC Unit Trusts Funds on the terms, conditions and regulatory information set out in the information memorandum. The information memorandum is available at CIC Offices.

I have read and understood the information memorandum, the charges made by CIC Asset Management Limited and the terms and conditions of this application.  $^{\prime\prime}$ 

I confirm that the Units are not being acquired directly or indirectly by or on behalf of any person restricted by the law of any jurisdiction from acquiring such units and that We will not sell, transfer or otherwise dispose of any such Units directly or indirectly to or for the account of such person.

I hereby agree that all proceeds for redemption and income distribution will be paid in accordance to the applicable payment instructions.

I confirm that the money used for the Investment in the CIC Unit Trust Funds are not arising out of the proceeds of any money laundering or other illicit activities.

I agree that CIC Asset Management Limited is not responsible for any liability, losses or damages resulting from e-mail or fax instructions, except when such liability or loss results from negligence, or willful default on CIC's part.

I warrant that all the information given on this proposal and in all documents which have been or will be signed by me in connection with the proposed application whether in my hand writing or not, is true and complete.

I hereby irrevocably and unconditionally agree that all fax, internet, electronic mails and scanned copies of any documentation and/or instructions issued by us in respect of our account(s) or dealings with the Company shall be binding and enforceable against us.

I further agree to fully indemnify CIC Asset Management against any proceedings, claims, expenses and liabilities whatsoever which may be taken or made against or incurred by the Fund manager by reason of the Fund manager accepting the fax, internet, electronic mails, scanned copies of the documentation and/or instructions.

I further confirm that the Fund manager may set-off any such expenses/costs incurred by it in respect of our obligations herein against any of our account(s) with the Fund manager.

I THE UNDERSIGNED CONFIRM THAT I HAVE READ AND UNDERSTOOD THIS DECLARATION AND ITS IMPLICATIONS

Signature:	Date:	
REQUIREMENTS		
Copy of I.D or Passport		
Copy of KRA PIN		
Passport picture		
Copy of bank details(cancelled chequ	ue, ATM card copy or bank statement)	
BROKER / AGENT DETAILS: (For internal u	use)	
Name: WINSURANCE AGEN	Financial advisor No. 024	96
Signature:	Date:	
Manager (For Financial advisors only)	Bra	nch:
Created by Name:	Signature:	Date:
Confirmed by Name:	Signature:	Date

#### CIC ASSET MANAGEMENT LTD.