

**CONTRIBUTION AGREEMENT
BRITAM WEALTH MANAGEMENT INDIVIDUAL APPLICATION FORM - KES**

1. Subscriber (Partner) Details

Title: Mr. Mrs. Ms. Other (specify)

Full Name:

PIN Number:

ID Number:

Nationality:

Country of Residence:

Postal Address and Code

Email:

Mobile:

Landline:

Contact Person Name:

Telephone Number:

Postal Address and Code:

Are you a politically exposed person (PEP)? Yes No

2. Sources of Funds

State the main sources from which your income or wealth is derived:

- Salary Inheritance Gift
 Maturing Investments Pension Sale of Shares
 Maturing Investments Savings Dividends/Interest
 Loan Lottery/Betting

Other (Please specify)

3. FATCA/CRS Declaration

Tick where applicable

Are you a US Resident?		Yes	No
Are you a US Citizen?			
Are you holding a US Permanent Resident Card (Green Card)			
Do you have a US Telephone Number?			
Were you born in the US?			
Have you granted Power of Attorney or Signatory authority to a person with a US address?			
Do you have a US Residential Address?			
Do you have a correspondence, C/O or Hold Mail address in the US?			
Do you have a standing order to a US Bank Account?			
Are you FATCA compliant?			
Country(ies) of Tax Residency	Tax Identification Number	Not Applicable (Tick where applicable)	
1.			
2.			
3.			
4.			
5.			

4. Joint Subscriber's Details

Title: Mr. Mrs. Ms. Other (specify)

Full Name:

PIN Number: ID Number:

Nationality:

Postal Address and Code

Email:

Mobile: Landline:

Contact Person Name:

Telephone Number:

Postal Address and Code:

Are you a politically exposed person (PEP)? Yes No

FATCA/CRS Declaration

Tick where applicable

Are you a US Resident?

Yes

No

Are you a US Citizen?

Are you holding a US Permanent Resident Card (Green Card)

Do you have a US Telephone Number?

Were you born in the US?

Have you granted Power of Attorney or Signatory authority to a person with a US address?

Do you have a US Residential Address?

Do you have a correspondence, C/O or Hold Mail address in the US?

Do you have a standing order to a US Bank Account?

Are you FATCA compliant?

Country(ies) of Tax Residency

Tax Identification Number

Not Applicable (Tick where applicable)

1.

2.

3.

4.

5.

5. Joint Subscriber's Source of Income

State the main sources from which your income or wealth is derived:

Salary Inheritance Gift

Maturing Investments Pension Sale of Shares

Rental/Property Sale Savings Dividends/Interest

Loan Lottery/Betting Other: _____

6. Accounts on Behalf of a Minor: (Attach a Copy of Birth Certificate)

Minor's Name

Date of Birth:

Postal Address: Postal Code:

Residential Address:

Parent's / Guardian's InformationTitle: Mr. Mrs. Ms. Other (specify

Full Name:

PIN Number:

Nationality:

Country of Residence:

Postal Address and Code

Email:

Mobile:

Contact Person Name:

Telephone Number:

Postal Address and Code

7. Partnership Account Details**Currency****Bank Transfer Details****Kenya Shillings**

Bank: Standard Chartered
A/C Name: Britam Wealth Management Account
Branch: Head Office
Bank Code: 02
Branch Code: 078
A/C No: 0105016785826
A/C Currency: KES
Swift Code: SCBLKENX

Bank: Equity Bank Limited
A/C Name: Britam Wealth Management Collection Account
Branch: Community Supreme Branch
Bank Code: 68
Branch Code: 018
A/C No: 0180270202574
A/C Currency: KES
Swift Code: EQBLKENA

8. Subscriber's Bank Information**Bank Name****Branch Name****Bank Code****Account Name****Account Number**

Swift Code _____

9. Initial Investment Amount (Capital Contribution)

KES

Investment period in months (Tick appropriately)

3 Months

6 Months

12 Months

Agreed Hurdle Rate:

GENERAL TERMS AND CONDITIONS

- A. This Contribution Agreement (this “Agreement”) is entered into subject to the terms of the Britam Wealth Management LLP, Partnership Agreement dated 1st April 2018 (and any subsequent revisions or variations made thereto)
- B. Britam Wealth Management LLP (the “Partnership”) is a Limited Liability Partnership established in Kenya, with Britam Asset Managers (Kenya) Limited (herein ‘the Fund Manager’) as the Principal Partner charged with administering the affairs of the Partnership. By executing this Agreement, and making the Capital Contribution the Subscriber shall become a Partner in the Partnership and accordingly unequivocally accepts to comply and be bound by the provisions of the Partnership Agreement.
- C. Under the terms of the Partnership Agreement, the Fund Manager shall manage the Capital of the Partnership for predetermined fixed periods (the “investment period”) to generate the indicative rate of return for the prescribed investment period.
- D. The indicative rate of return shall not be guaranteed and the actual rate of return at the end of an investment period may meet or not meet the indicative rate of return.
- E. The profits generated by the Partnership shall be distributed to the Partner in accordance with the indicative rate of return offered to the Partner under this Contribution Agreement (the “investment return”). The Investment Return shall be net of partnership expenses but subject to prevailing government taxes
- F. Instructions will only be accepted in the prescribed form by means of hand delivery or post, provided that email instructions shall only be acted upon where an email indemnity form has been signed and delivered by the Partner’s authorized signatories to the Principal Partner.
- G. The Partner may make additional Capital Contributions, or roll over all or any portion of the Capital Contribution together with the Investment Return (collectively referred to as the ‘Investment’) upon maturity by issuing instructions to the Principal Partner.
- H. On or before the end of the Investment Period, the Partner shall issue instructions to the Principal Partner to either roll over, switch or withdraw the Investment for that period. If the Principal Partner will not have received instructions from the Partner within two (2) weeks of an investment maturity, the Principal Partner shall initiate (and the Partner hereby consents to) automatic rollovers for successive tenors of three months at the applicable indicative rate of return, until instructed otherwise in accordance with this Agreement.
- I. Upon receipt of withdrawal instructions, the Principal Partner shall issue instructions to the Custodian to liquidate all assets in respect of which the withdrawal instructions have been made into cash for remittance to the Partner. However, where the Partner issues withdrawal instructions and/or terminates this Agreement before the end of an investment period, the Partner shall forfeit three percent (3%) of the applicable rate of return (hereinafter the ‘penalty’), and such penalty shall be deducted from the Partner Account by the Principal Partner and payable together with the fees owing to the Fund Manager.
- J. At the end of an Investment Period, profits in excess of the indicative rate of return shall be distributed to the Principal Partner as its remuneration for managing the investments of the Partnership.
- K. Subject to the provisions of the Partnership Deed, in the event of a request by the Partner to withdraw all the cash invested, this Agreement shall lapse, and the Partner shall cease to be a Partner in the Partnership.
- L. This Contribution Agreement shall define the Partnership interest of every partner. In all other matters, the terms and conditions of the Contribution Agreement shall be subject to the terms of the Partnership Agreement and in the event of conflict between the Partnership Agreement and Contribution Agreement (not relating to the Partnership interest contributed under this Agreement) the Partnership Agreement shall prevail.

- N. The Partner confirms and represents that none of the money which the Partner may transfer to the Partnership's bank account has been derived directly or indirectly from any act or omission that may constitute an offence or as a result or in connection with any criminal conduct under the Proceeds of Crime and Anti-Money Laundering Act No.9 of 2009 or any other written law.
- O. The Partner hereby declares that to the best of his knowledge and belief, the information, the source of funds statement and any annexures thereto made in this Agreement, is true and accurate and the funds are free of all claims, debts, loans, lawsuits, contingent liabilities (such as indemnities and guarantees) immediately prior to the transfers from the Partner to the Partnership's Bank Account.
- P. The Partner hereby represents and warrants that it has been given the opportunity to ask questions relating to the Partnership and has had access to such financial and other information concerning the Partnership as it has considered necessary to make a decision to invest in the Partnership and has availed itself of that opportunity to the full extent desired. The Partner further warrants that it is able to bear the economic risk of its investment in the Partnership.
- Q. If either party is affected by a force majeure event, including but not limited to an Act of God, war or other military action, political or social unrest, government action, action of terrorism, boycott, embargo, or other form of sanction, strike or other industrial dispute, pandemic, fire, flood, earthquake or other form of natural disaster, adverse weather conditions, explosion, failure of communications, system default of carrier, sudden unforeseeable changes in bank interest rates, property and real estate market or economic crashes and downturns or any other cause, event or circumstance whatsoever beyond the party's reasonable control, it shall forthwith notify the other party of the nature and extent thereof. No party shall be deemed to be in breach of this Deed or otherwise liable to the other party by reason of any delay or non-performance of any of its obligations hereunder to the extent that such delay or non-performance is due to a force majeure event which it has notified to the other parties. If the force majeure event in question prevails or continues for a period in excess of six (6) months the parties shall enter into bona fide discussions with a view to alleviating its effects or agreeing upon such alternative arrangements as may be fair and reasonable.
- R. The Partner warrants that the List of Signatures attached hereto constitutes the valid signatures of the Partner or its agents authorized to take action with respect to the investment and the Principal Partner shall be entitled to conclusively rely on any document executed as per the indicated signing mandate.

SIGNING MANDATE

IN WITNESS WHEREOF, the Partner and the duly authorized representative of the Partnership have hereunto set their hands the day and year first before written

Duly authorized to execute this Agreement for the Partner

	Name	Signature	Date
Authorized Signatory 1			
Authorized Signatory 2			
Authorized Signatory 3			
Other (specify)			
Other (specify)			

Signing Mandate: All Either/Or At least two

How did you learn about the Britam Wealth Management Fund?

- Britam Financial Advisor
- Event / On ground activation
- Referral from existing Britam customer
- Social media (Facebook, Twitter, Instagram, LinkedIn)
- Britam website
- Advert on Radio/Print/TV/Billboard etc.

Other. Kindly indicate details _____

FOR OFFICIAL USE ONLY

Duly authorized to execute this Agreement for and on behalf of **Britam Wealth Management LLP**

Name	Signature

- AMC Risk Category Screening High Medium Low
- UN List Yes No
- US OFAC Yes No
- List Yes No
- IPRS Yes No

PEP Status Yes No

FATCA Status Yes No

If Yes, FATCA documentation to be completed form

- W9 (U.S. Persons only)
- Form W-8BEN (Non U.S. Persons only)
- American ID/Passport

If an existing Client, kindly indicate account number _____

KYC Documents to be provided	
INDIVIDUAL APPLICATION	TICK
1. Copy of Official Identification document or Current Passport	
2. Copy of Utility Bill (not more than 3 months old) or a Proof of Address letter	
3. Copy of PIN or Tax Exemption Certificate (where applicable)	
5. Proof of Banking details:	
a. Original cancelled cheque; or	
b. Bank Statement (not more than three months old) or	
c. Certified letter from the bank; or	
d. Copy of ATM Card.	
I confirm that I have verified all the above documents (where applicable)	Name: _____ Signature: _____ Date: _____

Name of Financial Advisor _____	Code: _____
Branch: _____	Telephone: _____
Signature: _____	Date: _____

Contact Details

Britam Asset Managers (Kenya) Ltd.
Head Office, Britam Centre, Mara/Ragati Road Junction, Upper Hill
P.O. Box 30375 - 00100
Nairobi, Kenya
Tel: +254 (020) 2833000, +254 703 094091, +254 703 094000
Sms/Whatsapp: +254 796 962 837
E-mail: clientservicesbaam@britam.com
Website: www.britam.com

Britam Asset Managers (Kenya) Limited is Licensed by the Capital Markets Authority and Retirement Benefits Authority.